



Practitioner's ~~Index~~ No. SST/816

PATENT

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MAR 30 2000

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent application

of _____
Inventor(s)

for _____
Title of invention

the specification of which is being transmitted herewith

OR

In re application of: Commins, Leek, Linn, Gregg, Colonias/Simpson Strong-Tie Co., Inc.

Application No.: 08 / 975,940 Group No.: 3635

Filed: November 21, 1997 Examiner: C. Kent

For: Building Wall for Resisting Lateral Forces

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

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☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

37 C.F.R. § 1.8(a)

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37 C.F.R. § 1.10*

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☐ transmitted by facsimile to the Patent and Trademark Office.

Charles R. Cypher

Signature

Date: 3/27/00

Charles R. Cypher

(type or print name of person certifying)

***WARNING:** Each paper or fee filed by Express Mail **must** have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. § 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Information Disclosure Statement [6-1]—page 1 of 26)

NOTE: "An information disclosure statement shall be considered by the Office if filed by the applicant:

- (1) Within three months of the filing date of a national application;
 - (2) Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; or
 - (3) Before the mailing date of a first Office action on the merits, whichever event occurs last."
- 37 C.F.R. § 1.97(b).

NOTE: "Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section." 37 C.F.R. § 1.56(a).

"Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) each inventor named in the application;
- (2) each attorney or agent who prepares or prosecutes the application; and
- (3) every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application." 37 C.F.R. § 1.56(c).

NOTE: The "duty as described in § 1.56 will be met so long as the information in question was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98 before issuance of the patent." Notice of January 9, 1992, 1135 O.G. 13 -25 at 17.

WARNING: "No information disclosure statement may be filed in a provisional application." 37 C.F.R. § 1.51(b).

List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

*(check sections forming a part of this statement: discard unused sections
and number pages consecutively)*

1. ☒ Preliminary Statements
2. ☒ FORMS PTO/SB/08A and 08B (formerly FORM PTO-1449)
3. ☐ Statement as to Information Not Found in Patents or Publications
4. ☒ Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted
5. ☐ Cumulative Patents or Publications
6. ☒ Copies of Listed Information Items Accompanying This Statement
7. ☒ Concise Explanation of Non-English Language Listed Information Items
 - 7A. ☐ EPO Search Report
 - 7B. ☐ English Language Version of EPO Search Report
8. ☒ Translation(s) of Non-English Language Documents
9. ☒ Concise Explanation of English Language Listed Information Items (Optional)
10. ☒ Identification of Person(s) Making This Information Disclosure Statement

(complete the following, if appropriate)

Sections _____, respectively, have been continued on ADDED PAGE(S).

NOTE: "Once the minimum requirements are met, the examiner has an obligation to consider the information." Notice of April 20, 1992 (1138 O.G. 37-41, 37).

Section 1. Preliminary statements

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Section 2. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)

NOTE: With respect to the list required by paragraph (b) of 37 C.F.R. 1.98, the Notice of April 20, 1992 (1138 O.G. 37-41) points out that:

"The list may not be incorporated into the specification but must be submitted in a separate paper. A separate list is required so that it is easy to confirm that applicant intends to submit an information disclosure statement, and because it provides a readily available checklist for the examiner to indicate which identified documents have been considered. A copy of a separate list will also provide a simple means of communication to applicant to indicate the listed documents that have been considered and those listed documents that have not been considered. Use of form PTO-1449, "Information Disclosure Citation, is encouraged."

NOTE: *"An information disclosure statement may include two list[s] (and two certifications[])] . . . in situations where some of the information listed was cited in a communication from a foreign patent office not more than three months prior to filing the statement and some was not, but was not known more than three months prior to filing the statement." Notice of April 20, 1992 (1138 O.G. 37-41, 40).*

NOTE: With respect to the examiner's consideration of the Information Disclosure Statement, the Notice of April 20, 1992 (1138 O.G. 37-41) states:

*"If information is listed in the specification rather than in a separate paper, or if the other content requirements *** are not complied with, the examiner will notify applicant in the next Office action that the information has not been considered. It should be noted, however, that no copy of a U.S. patent application is required to be submitted. *** Where a U.S. patent application is properly cited, the examiner should obtain access to that file within the Office.*

"Examiners must consider all citations submitted in conformance with the rules and this section and place their initials adjacent [sic] the citations on a list or in the boxes provided on a form PTO-1449. If the citations are submitted on a list other than a form PTO-1449, the examiner may write "all considered" and his or her initials to indicate that all citations have been considered. If any of the citations are considered, a copy of the submitted list or form, as reviewed by the examiner, will be returned to the applicant with the next communication. The original copy of the form will be entered into the application file. The copy returned to applicant will serve both as acknowledgement of receipt of the information disclosure statement and as an indication that the references were considered by the examiner. Forms PTO-326 and PTOL-37 include a box to indicate the attachment of form PTO-1449.

*"Information which complies with requirements as discussed in this section but which is in a non-English language will be considered in view of the concise explanation submitted *** and insofar as it is understood on its face, e.g., drawings, chemical formulas, in the same manner that non-English language information in Office search files is considered by examiners in conducting searches. The examiner need not have the information translated unless it appears to be necessary to do so. *** The examiner should not require that a translation be filed by applicant. The examiner should not make any comment such as that the non-English language information has only been considered to the extent understood, since this fact is inherent.*

"Since information is required to be listed in a separate paper rather than in the specification, there is no need to mark "All checked" or "Checked" in the margin of a specification containing citations.

"If a statement fails to comply with the requirements as discussed in this section for an item of information, a line should be drawn through the citation to show that it has not been considered. The other items of information listed that do comply with the rules and this section will be considered by the examiner and will be appropriately initialed.

* * * * *

"A citation listed on form PTO-1449 and considered by the examiner in accordance with this section will be printed on the patent. A citation listed in a separate paper, equivalent to but not on form PTO-1449, and considered by the examiner in accordance with this section will be printed on the patent if the list is on a separate sheet which is clearly identified as an information disclosure statement and the list lends itself to easy capture of the necessary information by the Office printing contractor, i.e., each item of information is listed on a single line, the lines are at least double-spaced from each other, the information is uniform in format for each listed item, the list includes a column for the examiner's initials to indicate that the information was considered. If a citation is not printed on the patent but has been considered by the examiner in accordance with this section, the patented file will reflect that fact . . . "

Section 4. Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted

NOTE: "A copy of any patent, publication or other information listed in an information disclosure statement is not required to be provided if it was previously cited by or submitted to the Office in a prior application, provided that the prior application is properly identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120." 37 C.F.R. § 1.98(d).

WARNING: "This exception to the requirement for copies of information does not apply to information which was cited in an international application under the Patent Cooperation Treaty." Notice of April 20, 1992 (1138 O.G. 37-41, 38).

WARNING: "Information which is cited or submitted to the Office in the parent application of a file wrapper continuing application under 37 C.F.R. 1.62 will be part of the file before the examiner and need not be resubmitted in the continuing application. Likewise, the examiner will consider information cited or submitted to the Office in a parent application when examining a continuing application and thus this information need not be resubmitted unless applicant desires the information to be printed on the patent." Notice of April 20, 1992 (1138 O.G. 37-41, 37).

WARNING: While a copy of a non-English language item of information need not be submitted, if it was previously submitted to, or cited by, the Office in a prior application, provided it is properly identified in this statement and this application relies on that earlier filing date under 35 U.S.C. 120, nevertheless, the requirement in § 1.98(a)(3) for a concise explanation of non-English language information would not be satisfied by a statement that a reference was cited in the prosecution of a parent application. The concise explanation must explain the relevance, as presently understood by the person designated in § 1.56(c) most knowledgeable about the content of the information. Notice of January 9, 1992, 1135 O.G. 13-25, at 20.

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application SN.: 0 8 /975,940 , filed on November 21, 1997 (date).

(complete the following, if applicable)

☐ This application also relies, under 35 U.S.C. 120, on the earlier filing date of prior application SN.: 0 / , filed on _____ (date).

The following references were submitted to, and/or cited by, the Office in the prior application(s) and, therefore, are not required to be provided in this application:

Contents of Sheets 2 to 10 of PTO/SP/08A and Sheets 2 to 5 of PTO/SP/08B were previously provided.

Section 6. Copies of Listed Information Items Accompanying This Statement

NOTE: 37 C.F.R. 1.98(a)(2) requires that any information disclosure statement filed under § 1.97 shall include: "A legible copy of: (1) Each U.S. and foreign patent; (ii) Each publication or that portion which caused it to be listed; and (iii) All other information or that portion which caused it to be listed, except that no copy of a U.S. patent application need be included . . ."

NOTE: The wording in § 1.98(a)(2)(iii) makes it clear that the requirement to submit a copy of each item of information listed in an information disclosure statement does not apply to the citation of a U.S. patent application. Notice of January 9, 1992, 1135 O.G. 13-25, at 14.

Legible copies of all items listed in Forms PTO/SB/08A and 08B (formerly Form PTO-1449) accompany this information statement.

(complete the following, if applicable)

☒ Exception(s) to above:

☒ Items in prior application, from which an earlier filing date is claimed for this application, as identified in Section 4.

☐ Cumulative patents or publications identified in Section 5.

☒ Pending US application serial no: 08/670,500
filed 06-26-96

Section 7. Concise Explanation of Non-English Language Listed Information Items

NOTE: "A concise explanation of the relevance, as it is presently understood by the individual designated in § 1.56(c) most knowledgeable about the content of the information of each patent, publication, or other information listed that is not in the English language shall be included in any information disclosure statement filed under § 1.97. The concise explanation may be either separate from the specification or incorporated therein." 37 C.F.R. § 1.98(a)(3).

NOTE: "[T]he explanation required is limited to the relevance as understood by the individual designated in § 1.56(c) most knowledgeable about the content of the information at the time the information is submitted to the Office." Notice of January 9, 1992, 1135 O.G. 13-25 at 14.

NOTE: "Where the information listed is not in the English language, but was cited in a search report by a foreign patent officer, the requirement for a concise explanation of relevance is satisfied by submitting an English language version of the search report." Notice of January 9, 1992, 1135 O.G. 13-25, at 14.

NOTE: "The concise explanation requirement for non-English language information may be met by submission of an English language version of the search report indicating the degree of relevance found by the foreign office." Notice of January 9, 1992, 1135 O.G. 13-25, at 20.

WARNING: "The requirement in § 1.98(a)(3) for a concise explanation of non-English language information would not be satisfied by a statement that a reference was cited in the prosecution of a parent application." Notice of January 9, 1992, 1135 O.G. 13-25 at 20 (emphasis added).

Reported here is an abstract Applicants, believe explains Japanese Patent No. 3-208936. The abstract is of unknown origin.

Japanese Patent No. 3-208936, Masaaki Okabe

Purpose: To increase an efficiency of an assembling work, by providing a reinforcing part composed of inner frame members and braces in a peripheral frame and forming a jointing part in the neighborhood of the junction of the inner frame members and at the position departed from the jointing edge.

Constitution: An upper frame member 2 and a lower frame member 3 made from channel steels are connected to form a rectangle with a vertical member 4 at the edges thereof to define a peripheral frame and to make a large frame with the length three times as long as the module M. And a frame M is provided with a reinforcing frame 7 composed of inner frame members 5 in parallel with the peripheral frame A and diagonally connected braces 6. Moreover, the jointing edges of the inner frame member 5 are provided in the upper and lower frame members 2, 3 and conjunction parts 11, 12 are provided at the departed position from the jointing edge and panel member 15 are placed on both the front and rear faces to form a wall panel 1. In this way, the workability can be increased.

Section 8. Translation(s) of Non-English Language Documents

NOTE: "If a written English language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in § 1.56(c), a copy of the translation shall accompany the statement." 37 C.F.R. § 1.98(c).

NOTE: "The Office does not intend to require translations unless they have been reduced to writing and are actually translations of what is contained in the non-English language information. Applicants should note, however, that most examiners do not have the ability to understand information which is not in English and that the Office will not routinely translate information submitted in a non-English language. The examiner will consider the information insofar as it is understood on its face, e.g., drawings, chemical formulas, English language abstracts, but will not have the information translated unless it appears to be necessary to do so. Applicants are required to aid the examiner by complying with the requirements for a concise explanation in § 1.98(a)(3) for information submitted in a non-English language." Notice of January 9, 1992, 1135 O.G. 13-25, at 21.

NOTE: "The examiner will indicate that the non-English language information has been considered in the same manner as consideration is indicated for information submitted in English." Notice of April 20, 1992 (1138 O.G. 37-41, 41).

- ☒ Submitted herewith is an English translation of the following foreign language patents, publications or information or of those portions of those patents, publications or information ~~considered to be material~~ within the control of Applicants' attorney;

Japan Patent No. 3-208936, Masaaki Okabe, Abstract is of Unknown origin.

(complete the following, if applicable)

- ☒ No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except for those listed above.
- ☐ The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith.

Please type a plus sign (+) inside this box →

PTO/SB/08A (10-95)
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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Substitute for form 1448A/PTD

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	2	of	10
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Complete if Known

Application Number | 08/975,940

Filing Date	11-21-97
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First Named Inventor	Commins
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Group Art Unit

Examiner Name

Attorney Docket Number	SST/816
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U.S. PATENT DOCUMENTS

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (If known)			
		2,076,728		Keller	4-13-37	
		2,191,904		O'Malley	2-27-40	
		4,937,993		Hitchins	7-3-90	
		5,333,426		Varoglu	8-2-94	
<div>Duplicate 10/21/93 8/6/93</div>						

FOREIGN PATENT DOCUMENTS

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**Examiner
Signature**

Date	Considered
11/1/78	11/1/78
11/2/78	11/2/78
11/3/78	11/3/78
11/4/78	11/4/78
11/5/78	11/5/78
11/6/78	11/6/78
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11/27/78	11/27/78
11/28/78	11/28/78
11/29/78	11/29/78
11/30/78	11/30/78

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

Please type a plus sign (+) inside this box



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Office: U.S. DEPARTMENT OF COMMERCE
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

3

of

10

Complete if Known

Application Number

087975,940

Filing Date

11-21-97

First Named Inventor

CommIns

Group Art Unit

3621

Examiner Name

Attorney Docket Number

SST/816

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		5,706,626		Mueller	1-13-98	
<p><i>Mueller</i></p> <p><i>1 of 1</i></p> <p><i>2/01/99</i></p> <p><i>10</i></p>						

FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner
Signature**

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	08/975,940
				Filing Date	November 21, 1997
				First Named Inventor	Commins, Alfred
				Group Art Unit	3635
				Examiner Name	Kent, C
				Attorney Docket Number	SST/816
Sheet	4	of	10		

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20-1998
09-1997
24-1998

Duplicate to
1 of 1
2/26/99

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Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/APTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	08/975,940
				Filing Date	November 21, 1997
				First Named Inventor	Alfred D. Commins
				Group Art Unit	3635
				Examiner Name	Kent, C.
				Attorney Docket Number	SST/816
Sheet	6	of	10		

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No. 1	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear
		Number	Kind Code ² (If known)			
		2,180,830		R.G. Le Tourneau	11-21-1939	
		2,193,550		T.H. Coe, Jr.	03-12-1940	
		2,256,394		E.G. Lamel	09-16-1941	
		2,278,331		G.R. Meyercord	03-31-1942	
		2,281,185		F. Forster	04-28-1942	
		2,445,491		E.J. Moloney	07-20-1948	
		2,457,964		J. McC. Wyche	01-04-1949	
		2,633,610		D.E. Hervey	04-07-1953	
		2,743,980		F. Hobbs	05-01-1956	
		2,803,856		D.C. Kofahl et al	08-27-1957	
		2,856,646		E.W. Latimer et al	10-21-1958	
		3,037,593		C.L. Webster	06-05-1962	
		3,133,322		D.D. Douglas	05-19-1964	
		3,160,245		J. Pavlecka	12-08-1964	
		3,206,903		W.G. Johnson	09-21-1965	
		3,310,917		S.A. Simon	03-28-1967	
		3,304,675		E. Graham-Wood et al	02-21-1967	
		3,328,927		J.F. Kates	07-04-1967	
		3,474,582		J.M. Wah et al	10-28-1969	
		3,748,799		W.J. Tough et al	07-31-1973	

[illegible]

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
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				Examiner Name	Kent, C.
				Attorney Docket Number	SST/816
Sheet	8	of	10		

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	8	of	10
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Complete if Known

Application Number	08/975,940
Filing Date	November 21, 1997
First Named Inventor	Alfred D. Commins
Group Art Unit	3635
Examiner Name	Kent, C.
Attorney Docket Number	SST/816

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Paragraphs or Relevant Figures Appear
		Number	Kind Code ¹ (If known)			
		4,514,950		A.A. Goodson, Jr.	05-07-1985	
		4,552,094		L. Johnson	11-12-1985	col 10/17/85
		4,563,851		C.E. Long	01-14-1986	
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		4,637,195		R.E. Davis	01-20-1987	
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		5,505,031		J.J. Heydon	04-09-1996	
		5,499,480		K.R. Bass	03-19-1996	
		5,524,406		R.E. Ragland	06-11-1996	

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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				Application Number	08/975,940
				Filing Date	November 21, 1997
				First Named Inventor	Alfred D. Commins
				Group Art Unit	3635
				Examiner Name	Kent, C.
				Attorney Docket Number	SST/816
Sheet	9	of	10		

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(use as many sheets as necessary)

Sheet

1

of

5

Application Number

08/975:940

Filing Date

11-21-97

First Named Inventor

Commins, Alfred

Group Art Unit

3635

Examiner Name

Christopher Kent

Attorney Docket Number

SST/816

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10/24/2000

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	08/975,940		
		Filing Date	11-21-97		
		First Named Inventor	Commins, Alfred		
		Group Art Unit	3635		
		Examiner Name	Christopher Kent		
Sheet	25	of	5	Attorney Docket Number	SST/816

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Pending USPA -DIAPHRAGM WITH PERIMETER EDGING ON STRUCTURAL PANELS Serial No: 08/873,972 Filed: June 12, 1997, Inventor: A.D. Commins and R.C. Gregg	
02		Concise Drafting Services, Various letters, drawings and a brochure from Roll Form Industries Pty, Ltd. Authors: Paul Tongiatama, E. La Monaca, J. House and Roll Form Industries	
		C.H. Utzman, Provisional Patent Application SHEAR PANEL JOINT, Patent No. 5,870,870	
		Gary Hardy, USPN Serial No. 08/966,002 filed: 11-7-97	
		Alfred Commins, USPA-WOOD SCREW, Serial No. 08/902,417 Filed: 7-29-98	

Examiner Signature		Date Considered	10/24/2000
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				Application Number	08/975,940
				Filing Date	November 21, 1997
				First Named Inventor	Commings, Alfred
				Group Art Unit	3635
				Examiner Name	Kent, C.
				Attorney Docket Number	SST/816
Sheet	3	of	5		

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Sheet

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of

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Complete if Known

Application Number	08/975,940
Filing Date	November 21, 1997
First Named Inventor	Alfred D. Commins
Group Art Unit	3635
Examiner Name	Kent, C.
Attorney Docket Number	SST/816

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10/20/99**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Acceptance Criteria for Prefabricated Wood Shear Panels, ICBO Evaluation Service, Inc., July 1997, U.S.A.	
		STS Prefabricated Lateral-Force Resisting (LFR) Panel System Evaluation Report, ICBO Evaluation Service, Inc. 7-1-1998	
		JOHN R. TISSELL, Wood Structural Panel Shear Walls, May 1993, Report 154, APA Engineered Wood Association, U.S.A.	
		GREG C. FOLIENTE, Earthquake Performance and Safety of Timber Structures, Forest Products Society, Madison WI Pages 120-124	
		Z-Wall Delivers Strength, Honolulu, Hawaii	
		The Hardy Frame Brochure	
		Go-Panel Brochure	
		ShearMax Panel Brochure	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 5 of 5

Complete if Known

Application Number	08/975,940
Filing Date	11-11-97
First Named Inventor	Commins, A.
Group Art Unit	3635
Examiner Name	Kent, C.
Attorney Docket Number	SST/816

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		THALLON, ROB. Graphic Guide to Frame Construction. Sept. 1991. Pages 70, 77, 79-82. The Taunton Press, Newtown, CT.	
		American Plywood Association, 1992. APA Homeowner's Guide to Earthquake Safeguards. Selected Web Pages, 13 pgs.	
		Simpson Strong-Tie co., Inc. Copyright 1991. Total 16 pages Connectors for Earthquake Retrofit and New Construction.	
		BREYER, DONALD E. Design of Wood Structures. 1993. Chapter 3, §§ 3.1, 3.3, 3.4 and Chapter 10	
		Japanese Language Newspaper Article, 1 pg.	
		Japanese Language Magazine Article, "Wide Focus", 2 pgs. pg. 20 and 21	
		Color Photocopies, "Wood Framed Residential Project in Kobe Japan Utilizing MFG. LWM Steel Shear Panels", 4 pgs.	1996

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